

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC971 U.S. PRO  
09/875864  
06/07/01

|   |   |
|---|---|
| In application of:<br><br>Banerjee et al.   | : Group Art Unit:                       |
| Serial No.:   | : Intellectual Property Law Department  |
| Filed:  | : International Business Machines Corp. |
| Title: BROKERING AND FACILITATING<br>CONSUMER PROJECTS IN AN<br>E-COMMERCE SYSTEM | 11400 Burnet Road                       |
|   | : Internal Zip 4054                     |
|   | : Austin, Texas 78758                   |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D. C. 20231 on 6-7-01.

Beverly Copher

Beverly Copher 6-7-01  
Signature Date

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

PATENT:

SERIAL #:

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in cursive script that reads "Marilyn Smith Dawkins".

Marilyn Smith Dawkins  
Attorney for Applicants  
Registration NO. 31,140  
(512) 823-0094

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Initial

\_\_\_\_ AF AUS920010357, Brokering and Facilitating Consumer Projects in an E-Commerce System

\_\_\_\_\_  
Examiner:

\_\_\_\_\_  
Date Considered:

\_\_\_\_\_  
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
\_\_\_\_\_

In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

Applicant: Banerjee et. al.

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. AUS920010357US1LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

JC971 U.S. PTO  
 09/875864  
 06/07/01

## Reference Designation

U. S. PATENT DOCUMENTS

| Examiner<br><u>Initial</u> | Document<br><u>Number</u> | <u>Date</u> | <u>Name</u>  | <u>Class</u> | Sub-<br><u>class</u> | Filing Date<br><u>if Appropriate</u> |
|----------------------------|---------------------------|-------------|--|--------------|----------------------|--------------------------------------|
| ____AA                     | US 5,970,475              | 10/19/99    | Electronic Procurement<br>System and Method<br>for Trading<br>Partners   | 705          | 27                   | 10/10/97                             |
| ____AB                     | US 6,058,379              | 05/02/00    | Real-Time Network<br>Exchange with Seller<br>Specified Exchange<br>Parameters and<br>Interactive Seller<br>Participation | 705          | 37                   | 07/11/97                             |
| ____AC                     | US 6,058,417              | 05/02/00    | Information Presentation and<br>Management in an Online Trading<br>Environment   | 709          | 219                  | 10/23/98                             |
| ____AD                     | US 6,128,600              | 10/03/00    | Electronic Shopping System<br>and Method of Defining<br>Electronic Catalogue Data<br>Therefor                            | 705          | 27                   | 02/23/98                             |
| ____AE                     | US 6,169,976 B1           | 01/02/01    | Method and Apparatus for<br>Regulating the Use of<br>Licensed Products   | 705          | 59                   | 07/02/98                             |
| ____AF                     | US 6,202,051 B1           | 03/13/01    | Facilitating Internet Commerce<br>Through Internetworked Auctions  | 705          | 27                   | 02/19/99                             |

FOREIGN PATENT DOCUMENTS

| Examiner<br><u>Initial</u> | Document<br><u>Number</u> | <u>Date</u> | <u>Country</u> | <u>Class</u> | Sub-<br><u>class</u> | Filing Date<br><u>if Appropriate</u> |
|----------------------------|---------------------------|-------------|----------------|--------------|----------------------|--------------------------------------|
| ____AG                     | WO 00/43933               | 07/27/00    | PCT            | G06F 17      | 17                   | 01/26/00                             |
| ____AH                     | WO 00/54204               | 09/14/00    | PCT            | G06F 17      | 60                   | 03/10/99                             |
| ____AI                     | WO 00/55782               | 09/21/00    | PCT            | G06F 17      | 60                   | 03/15/99                             |
| ____AJ                     | WO 00/57332               | 09/28/00    | PCT            | G06F 17      | 60                   | 03/25/99                             |
| ____AK                     | WO 00/60519               | 10/12/00    | PCT            | G06F 17      | 60                   | 04/06/99                             |
| ____AL                     | WO 00/62187               | 10/19/00    | PCT            | G06F 17      | 00                   | 04/09/99                             |
| ____AM                     | EP1031935A2               | 08/30/00    | Europe         | G06F 17      | 60                   | 02/25/00                             |